



**Research Integrity  
Statement  
AR-8641**

## Purpose

Research is foundational to much of the important work ANSTO does. Integral to the reliability and credibility of such research and ANSTO’s domestic and international standing, is that such research be conducted to the highest ethical and professional standards.

This Research Integrity Statement (**Statement**) sets out the principles that guide ANSTO’s approach to research integrity, the expectations it has of its employees and other persons with whom it conducts research, the treatment of research integrity concerns, as well as the roles established to support research integrity at ANSTO.

Through this Statement ANSTO adopts the principles set out in the Australian Code for the Responsible Conduct of Research (**Research Code**) published by the National Health and Medical Research Council (**NHMRC**) and the Australian Research Council (**ARC**) in relation to research funded by the NHMRC and the ARC and otherwise to the extent described in this Statement. The Guide to Managing and Investigating Potential Breaches of the Australian Code for the Responsible Conduct of Research (**Research Code Investigation Guide**) published by the NHMRC and ARC is followed in the circumstances set out in this Statement.

## Scope

This Statement applies to all ANSTO employees undertaking research and persons with whom ANSTO conducts research.

## Document History

This document replaces AP-2583 Research Misconduct Procedure.

### Table of Contents

1. Requirement.....	2
2. Roles.....	2
3. Interaction with ANSTO Enterprise Agreement .....	2
4. References.....	3

## 1. Requirement

Each person to whom this Statement applies is required to be familiar with this Statement, the Research Code and the Research Code Investigation Guide and comply with each of the same to the extent required under this Statement.

Failure or suspicion of failure to meet the requirement set out above may be referred for an initial review or investigation in accordance with this Statement. For employees, adverse findings may result in a range of outcomes such as disciplinary action up to and including termination of employment and for non-employees of ANSTO may lead to a range of outcomes including the cessation of collaborative and other relationships with ANSTO and its employees as well as the revocation of access to its campuses and facilities.

## 2. Roles

The table below maps roles under the Research Code Investigation Guide to the responsible person(s) at ANSTO:

Research Code Investigation Guide	ANSTO
Responsible Executive Officer (REO)	Group Executive – Nuclear Science and Technology
Designated Officer (DO)	The leader of an NST business unit that the Group Executive NST assesses to have appropriate research experience.
Assessment Officer (AO)	A person or persons appointed by ANSTO, typically on the recommendation of the DO, and may include Research Integrity Advisors. The AO, whether a single person or more than one, forms the investigation panel for the purposes of the Research Code Investigation Guide and is the ‘investigator’ for the purposes of the ANSTO Enterprise Agreement and Management of Misconduct Procedure.
Research Integrity Advisor (RIA)	People with knowledge of the Code and ANSTO’s processes nominated by ANSTO, typically the DO, to promote the responsible conduct of research and provide advice to those with concerns or complaints about potential breaches of the Code.
Research Integrity Office (RIO)	ANSTO Research Office
Review Officer (RO)	ANSTO Chief Executive Officer or their nominee, who must be a senior officer of ANSTO.

## 3. Interaction with ANSTO Enterprise Agreement

For matters involving ANSTO employees, references in the Research Code Investigation Guide to ‘preliminary review’ under the Research Code Investigation Guide will be treated as having the same meaning as ‘initial review’ (or such other similar term) and an investigation under the Research Code Investigation Guide will be carried out in accordance with the requirements for an ‘investigation’ under the applicable ANSTO Enterprise Agreement.

Where possible, the Research Code Investigation Guide will inform the initial review and/or investigation; however, any applicable process set out under any applicable ANSTO Enterprise Agreement will prevail to the extent of any inconsistency.

For matters involving non-employees, ANSTO will determine whether to apply the same process it applies to its employees, the process under the Research Code Investigation Guide or something else. Such decision will account for a range of factors including any relevant contractual requirements and the views of the employer/institution to which the non-employee belongs.

## 4. References

- AB-2125 *Code of Conduct*
- AP-6907 *Management of Misconduct Procedure*
- AG-6075 *ANSTO Investigations Manual*
- [NHMRC and ARC Australian Code for the Responsible Conduct of Research \(2018\)](#)
- [NHMRC and ARC Guide to Managing and Investigating Potential Breaches of the Australian Code for the Responsible Conduct of Research \(2018\)](#)
- *Australian Nuclear Science and Technology Enterprise Agreement 2020-2023* (as amended or replaced)

**End of Document**